

UNITED STATES DISTRICT COURT FOR THE DISTRICT OF NEW JERSEY

NOTICE OF CLASS ACTION AND OF PROPOSED END-PAYOR SETTLEMENTS

**If You Purchased Liquid Aluminum Sulfate,
You Could Be Eligible to Receive Money by Participating in Proposed Class Action Settlements
Valued at \$29,250,000**

*This Notice is being provided by Order of the U.S. District Court.
It is not a solicitation from a lawyer. You are not being sued.*

**PLEASE READ THIS NOTICE CAREFULLY AS YOUR RIGHTS WILL BE AFFECTED
WHETHER YOU ACT OR DO NOT ACT.**

A lawsuit is pending in the United States District Court for the District of New Jersey (“the Court”) against the following Defendants: General Chemical Corporation, General Chemical Performance Products, LLC, General Chemical LLC, GenTek Inc., Chemtrade Logistics Income Fund, Chemtrade Logistics Inc., Chemtrade Chemicals Corporation, Chemtrade Chemicals US, LLC, Chemtrade Solutions, LLC, C&S Chemicals, Inc., USALCO, LLC, Kemira Chemicals, Inc., Southern Ionics Incorporated, GEO Specialty Chemicals, Inc., Frank A. Reichl, Vincent J. Opalewski, Alexis Palvlos Avraamides, Amita Gupta, Milton Sundbeck, Kenneth A. Ghazey, Brian C. Steppig, American Securities LLC, Matthew Lebaron, and Scott Wolff.

Plaintiffs, City of Homestead, Florida and City of Creston Water Works Department (the “Indirect Purchaser Class Plaintiffs”), in the lawsuit claim that Defendants hurt competition and violated the antitrust, consumer protection, and other laws of certain states and territories by allocating customers and markets and fixing the price of liquid aluminum sulfate (“Alum”), thereby causing indirect purchasers to pay too much for Alum in those states and territories. Defendants deny any wrongdoing.

Defendants General Chemical Corporation, General Chemical Performance Products, LLC, General Chemical LLC, GenTek Inc., Chemtrade Logistics Income Fund, Chemtrade Logistics Inc., Chemtrade Chemicals Corporation, Chemtrade Chemicals US, LLC., Chemtrade Solutions, LLC, Frank A. Reichl, Vincent J. Opalewski, Alexis Palvlos Avraamides, Amita Gupta, Matthew Lebaron, Scott Wolff, Kemira Chemicals, Inc., Southern Ionics Incorporated, and Milton Sundbeck will be referred to collectively, for purposes of this Notice, as “Settling Parties”.

An earlier settlement was reached between the Indirect Purchaser Class Plaintiffs and Defendants GEO Specialty Chemicals Inc., Kenneth A. Ghazey, and Brian C. Steppig and was granted final approval by the Court. The Settlement Funds of that settlement will be added to and become part of these Settlements.

PROPOSED CLASSES

This Notice (the “Settlement Notice”) provides notice of the proposed Settlements with the Settling Parties on behalf of the members of the Indirect Purchaser Settlement Classes (defined below). There are three different settlements covered by this Notice. As described below, if you are a member of the Indirect Purchaser Settlement Classes, you may object to any of the respective Settlements covered by this Notice. At this time the Indirect Purchaser Settlement Classes are defined as:

All persons or entities in Alabama, Arkansas, Arizona, California, Colorado, District of Columbia, Florida, Hawaii, Illinois, Iowa, Kansas, Maine, Massachusetts, Michigan, Minnesota, Mississippi, Nebraska, Nevada, New Hampshire, New Mexico, New York, North Carolina, North Dakota, Oregon, Puerto Rico, Rhode Island, South Carolina, South Dakota, Tennessee, Utah, Vermont, West Virginia, and Wisconsin (the “Indirect Purchaser States”), who indirectly purchased Alum, not for resale, which

was manufactured, produced, or supplied by Defendants or their unnamed co-conspirators from January 1, 1997, through February 28, 2011.

Excluded from the Indirect Purchaser Settling Classes are Defendants and co-conspirators and their respective parents, subsidiaries, and affiliates.

The Settlements described in this Notice pertain only to **indirect** purchasers of Alum. There is another lawsuit pending in the same Court that was brought on behalf of **direct purchasers**, which are entities that purchased Alum directly from a Defendant. The direct purchasers have also reached settlements with the Settling Parties.

Information about the indirect purchaser settlements can be found by visiting the website www.LiquidAluminumSulfate.com.

You may be a member of the Indirect Purchaser Settlement Classes if, during the period from January 1, 1997, through February 28, 2011 (the “Class Period”):

You indirectly purchased Alum or paid for some or all of the purchase price for Alum (e.g., you purchased Alum from distributors or from direct purchasers) which was manufactured, sold or supplied by Defendants or their unnamed co-conspirators.

YOUR LEGAL RIGHTS AND OPTIONS IN THESE SETTLEMENTS	
SUBMIT A CLAIM FORM	To receive money from the Settlement Funds, you will need to file a Claim Form by _____, 2019 . (See Question _ below)
EXCLUDE YOURSELF FROM THE SETTLEMENT CLASSES	This option allows you to exclude yourself from any or all of these Settlements and instead file a lawsuit against the Settling Parties that asserts claims related to the allegations or claims in this case. The exclusion deadline is _____, 2019 . Please consult www.LiquidAluminumSulfate.com for any updates on deadlines.
OBJECT TO THE SETTLEMENTS	Do not exclude yourself. Write to the Court and explain what you do not like about any of these Settlements. The objection deadline is _____, 2019 . Please consult www.LiquidAluminumSulfate.com for any updates on deadlines.
GO TO A HEARING	Ask to speak in Court about the fairness of the Settlements. Your notice of intention to appear at the Final Approval Hearing must be postmarked no later than _____, 2019 . Please consult www.LiquidAluminumSulfate.com for any updates on deadlines.
DO NOTHING	Give up rights to be part of any other lawsuit that asserts claims related to the allegations or claims against the Settling Parties in this case.

THESE RIGHTS AND OPTIONS AND THE DEADLINES TO EXERCISE THEM ARE EXPLAINED IN THIS NOTICE.

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BASIC INFORMATION ABOUT THE LAWSUIT AND SETTLEMENTS

1. Why did I get this Notice?

You received this Notice because you requested it or records indicate that you may be a member of the Indirect Purchaser Settlement Classes. Settlements have been reached with Settling Parties. All Settling Parties deny any wrongdoing. You are not being sued. This Notice describes the lawsuit, the Settlements of this case against the Settling Parties, and the rights and options you have now.

2. What is the lawsuit about?

The lawsuit is about the price of liquid aluminum sulfate and whether its manufacturers conspired to fix, stabilize, or maintain its price and allocate customers for the product.

The Settling Parties deny these claims.

3. **What is the current status of the lawsuit?**

Plaintiffs have agreed to Settlements with the Settling Parties. The lawsuit is currently pending in the United States District Court for the District of New Jersey before United States District Judge Jose Linares. The case name is *In re Liquid Aluminum Sulfate Antitrust Litigation*, and the civil action number is 16-md-2687. The Court has set a Final Fairness Hearing for the proposed Settlements on _____ at 10:00 a.m. eastern time. Please consult www.LiquidAluminumSulfate.com for any updates on deadlines.

4. **What do the Settlements provide?**

The Court has granted preliminary approval of each of these Settlements and directed that this Notice be provided to members of the Settlement Classes. The Settlements total \$29,250,000 which, after the payment of Court-approved attorneys' fees, reimbursement of litigation expenses, cost of notice, incentive awards (if any) to Class Representative Plaintiffs, and costs and fees associated with Claims Administration, will be used to pay eligible Claimants according to a Plan of Allocation, to be approved by the Court. The Plan of Allocation is attached. It is possible that any money remaining after claims are paid will be distributed to charities or other beneficiaries approved by the Court. There are three separate settlements covered by this Notice:

- Defendants General Chemical Corporation; General Chemical Performance Products, LLC; General Chemical LLC, GenTek Inc., Chemtrade Logistics Income Fund; Chemtrade Logistics Inc., Chemtrade Chemicals Corporation (collectively, "Chemtrade") have agreed to pay \$14,000,000 to a fund to compensate members of the GCC Settlement Class.
- Kemira Chemicals, Inc. has agreed to pay \$2,350,000 to a fund to compensate members of the Kemira Settlement Class.
- Southern Ionics Incorporated has agreed to pay \$5,000,000 to a fund to compensate members of the Southern Ionics Settlement Class.
- USALCO LLC has agreed to pay \$5,000,000 to a fund to compensate members of the USALCO Settlement Class.
- American Securities LLC has agreed to pay \$2,200,000 to a fund to compensate members of the American Securities Settlement Class.
- C&S Chemicals, Inc. has agreed to pay \$700,000 to a fund to compensate members of the C&S Chemicals Settlement Class.

The above amounts are being paid by the Settling Parties, as set forth above, in exchange for releases of all claims that have been or could have been brought against them under the laws of the states listed in the class definition and the District of Columbia in connection with their alleged conduct relating to the conspiracy to fix prices of liquid aluminum sulfate, allocate markets or customers, or alleged conduct relating to unfair and deceptive practices in connection with the sale of liquid aluminum sulfate to indirect purchasers ("Releases"). The Releases are set forth in full as part of the Proof of Claim Form required to be signed under oath in order to participate in these Settlements.

More details are in the Settlement Agreements and Releases, available at www.LiquidAluminumSulfate.com.

5. **What are members of the Indirect Purchaser Settlement Classes giving up in exchange for the Settlements?**

In exchange for the Settlements, members of the Indirect Purchaser Settlement Classes will agree to a “Release of Claims” against each of the Settling Parties defined in the respective Settlement Agreements and as set forth in the Claim Form and in the respective Settling Defendant’s Settlement Agreement, all of which will be on www.LiquidAluminumSulfate.com.

In addition to the provisions of the Releases, the Releasing Parties hereby expressly waive and release, solely with respect to the Released Claims, upon Final Judgment, any and all provisions, rights, and benefits conferred by Section 1542 of the California Civil Code, which states:

CERTAIN CLAIMS NOT AFFECTED BY GENERAL RELEASE. A GENERAL RELEASE DOES NOT EXTEND TO CLAIMS WHICH THE CREDITOR DOES NOT KNOW OR SUSPECT TO EXIST IN HIS OR HER FAVOR AT THE TIME OF EXECUTING THE RELEASE, WHICH IF KNOWN BY HIM OR HER MUST HAVE MATERIALLY AFFECTED HIS OR HER SETTLEMENT WITH THE DEBTOR;

or by any law of any state or territory of the United States, or principle of common law, which is similar, comparable, or equivalent to Section 1542 of the California Civil Code. Each Releasing Party may hereafter discover facts other than or different from those which he, she, or it knows or believes to be true with respect to the claims which are released pursuant to the provisions of the Settlement Agreements, but each Releasing Party hereby expressly waives and fully, finally, and forever settles and releases, upon Final Judgment, any known or unknown, suspected or unsuspected, contingent or non-contingent claim that the Releasing Parties have agreed to release pursuant the Settlement Agreements, whether or not concealed or hidden, without regard to the subsequent discovery or existence of such different or additional facts.

The Settlement Agreements, available at www.LiquidAluminumSulfate.com fully describe the Settlements and the Released Claims. If you have any questions, you can talk with the lawyers listed in Question 18 for free, or you can, of course, talk with your own lawyer if you have questions about what this means.

DETERMINING IF YOU ARE A MEMBER OF THE CLASSES

6. **I am a person or entity who purchased or paid for liquid aluminum sulfate; how do I know if I am a member of the Classes?**

You may be a member of the Indirect Purchaser Settlement Classes if:

- From January 1, 1997, through February 28, 2011, in the Indirect Purchaser States you indirectly purchased or paid for some or all of the purchase price, not for resale, of liquid aluminum sulfate manufactured or sold by the Settling Parties.
- The following are **NOT** members of the Settlement Classes:

Defendants and co-conspirators and their respective parents, subsidiaries, and affiliates.

THE BENEFITS OF THE SETTLEMENTS

7. How do I receive a payment?

If you are an Indirect Purchaser Settlement Class Member and do not exclude yourself from one or more of the Settlements, you may be eligible to receive a payment from the Settlements. To qualify for a payment from any of the Settlements, you must submit a Claim Form, which is enclosed with this Notice or available at www.LiquidAluminumSulfate.com. You must fill out the Claim Form and include all the information the form asks for. Be sure to sign it, and mail it by first-class mail, or submit it online at www.LiquidAluminumSulfate.com, postmarked (if mailed) or received (if submitted online) no later than _____, 2019 to:

In re Liquid Aluminum Sulfate Antitrust Litigation (Indirect Purchaser Action)
c/o A.B. Data, Ltd.
P.O. Box 173050
Milwaukee, WI 53217

8. How much money can I get?

At this time, it is unknown how much each eligible Class Member that submits a valid claim will receive. Payments will be based on a number of factors, including the number of valid claims filed by all eligible Class Members and the dollar value of each eligible Class Member's purchase(s) in proportion to the total claims filed. No matter how many claims are filed, no money will be returned to the Released Parties once the Court finally approves the Settlements. No additional money will be paid by any of the Released Parties to the Indirect Purchaser Settlement Classes. To receive a payment, you will need to file a valid Claim Form before the claims period ends. Although the Court has not yet granted final approval of the Settlements, a Proof of Claim Form is provided at the end of this Notice.

EXCLUDING YOURSELF FROM THE SETTLEMENTS

9. What does it mean to request to be excluded from the Indirect Purchaser Settlement Classes?

If you do not want to be part of some or all of the Settlement Classes and you want to keep your right to sue some or all of the Settling Parties relating to the allegations in *In re Liquid Aluminum Sulfate Antitrust Litigation*, Civil Action No. 16-md-2687 concerning the alleged anticompetitive conduct, then you must take steps to remove yourself from the Indirect Purchaser Settlement Classes for some or all of the Settlements. This is called excluding yourself, or "opting out" of the Indirect Purchaser Settlement Classes. If you exclude yourself, you will not receive any payment or anything else from the Settlements.

10. How do I get out of some or all of the Settlements? (Excluding yourself.)

To exclude yourself from one or more of the Settlements, you must send a letter by mail saying that you wish to be excluded from one or all of the Indirect Purchaser Settlement Classes in *In re Liquid Aluminum Sulfate Antitrust Litigation*, Civil Action No. 16-md-2687, and identifying which of the Settlement Classes from which you wish to be excluded. **You must include your name, address, telephone number, amount of your Alum purchases during the Class Period, which settlement(s) you seek exclusion from, and signature.** You cannot exclude yourself on the telephone or by email. You must mail your Request for Exclusion, **postmarked no later than _____, 2019**, to:

In re Liquid Aluminum Sulfate Antitrust Litigation (Indirect Purchaser Action)
EXCLUSIONS
P.O. Box 173001
Milwaukee, WI 53217

11. **What is the legal significance of excluding myself?**

If you exclude yourself, you will not be legally bound by the Settlements with the Indirect Purchaser Settlement Classes from which you exclude yourself. You may be able to sue the Settling Defendants in the future.

12. **If I don't exclude myself, can I sue later?**

No. Unless you exclude yourself, you give up the right to sue the Settling Defendants for the claims that the Settlements with them resolve. You must exclude yourself from the Indirect Purchaser Settlement Classes to be able to bring your own, separate lawsuit(s) against the Settling Parties. Exclusion from one of the settlements does not qualify as exclusion from another settlement – you may decide from which settlement to exclude yourself and you must specify the settlement from which you are excluding yourself. Remember, the exclusion deadline is _____, 2019.

13. **What's the difference between objecting and excluding myself from the Settlements?**

Objecting is telling the Court that you do not like something about one or more of the Settlements. You can object only if you stay in the Indirect Purchaser Settlement Classes. In contrast, excluding yourself is telling the Court that you do not want to be part of the Indirect Purchaser Settlement Classes for one or more of the Settlements. If you exclude yourself from the Indirect Purchaser Settlement Classes, you have no basis to object to the Settlements because the action no longer affects you with regard to the Indirect Purchaser Settlement Classes.

14. **If I exclude myself, can I still get a payment from the Settlements?**

No. You will not get any money if you exclude yourself from all of the Settlement Classes. If you only exclude yourself from one of the Settlements, you will get money from the Settlements for which you have not excluded yourself.

OBJECTING TO THE SETTLEMENTS

15. **What does it mean to object?**

Objecting is telling the Court that you don't like something about one or more of the proposed Settlements. You can give reasons why you think the Court should not approve one or more of the Settlements. The Court will consider your views before making a decision.

16. **How do I object to the proposed Settlements?**

To object, you must prepare and sign a written objection that includes: (1) the case name and number (“*In re Liquid Aluminum Sulfate Antitrust Litigation*, Civil Action No. 16-md-2687”); (2) your full name, current address, and telephone number; (3) the Settlement or Settlements to which you are objecting; (4) a written statement of your objections and the specific reasons for each; (5) any supporting papers, evidence, or documents; (6) a statement of whether you intend to appear and present your objections at the Fairness Hearing (see Question 21); and (7) your signature. You must file your objection with the Court no later than _____, 2019, and mail copies to Class Counsel and Defense Counsel **to be received no later than _____, 2019.**

COURT	CLASS COUNSEL
<p>The Martin Luther King Building & U.S. Courthouse, 50 Walnut Street, Room 4015 Newark, NJ 07101</p>	<p>Jay B. Shapiro Stearns Weaver Miller Weissler Alhadeff & Sitterson, P.A. Museum Tower 150 West Flagler Street, Suite 2200 Miami, FL 33130</p> <p>Marvin A. Miller Miller Law LLC 115 S. LaSalle Street, Suite 2910 Chicago, IL 60603</p>
DEFENSE COUNSEL	
<p>Steven A. Reiss Adam C. Hemlock Weil, Gotshal & Manges LLP 767 Fifth Avenue New York, NY 10153-0119 <i>Counsel for the Chemtrade Defendants</i></p> <p>Jeffrey S. Cashdan King & Spalding LLP 1180 Peachtree St., NE Suite 1600 Atlanta, GA 30309 <i>Counsel for Kemira Chemicals, Inc.</i></p> <p>John S. Mairo Kelly D. Curtin Porzio, Bromberg & Newman, P.C. 100 Southgate Parkway P.O. Box 1997 Morristown, NJ 07962 <i>Counsel for Southern Ionics Incorporated and Milton Sundbeck</i></p>	<p>William F. Ryan, Jr. Aaron Casagrande Whiteford, Taylor & Preston L.L.P. 7 Saint Paul Street Baltimore, MD 21202-1636 <i>Counsel for USALCO LLC</i></p> <p>Aaron Rubinstein Arnold & Porter Kaye Scholer LLP 250 West 55th Street New York, NY 10019-9710 <i>Counsel for American Securities LLC</i></p> <p>John D. Dalbey Chilivis Cochran Larkins & Bever LLP 3127 Maple Dr. NE Atlanta, GA 30305 <i>Counsel for C&S Chemicals, Inc.</i></p>

IF YOU DO NOTHING

17. What happens if I do nothing at all?

If you do nothing, and the Court approves the Settlements, you will be bound by their terms. Unless you exclude yourself, you will not be able to file a lawsuit or be part of any other lawsuit asserting claims against the Settling Parties concerning or relating to the claims and factual allegations that were or could have been raised in this action. The complete Settlement Agreements are available at www.LiquidAluminumSulfate.com and more specifically describe the Released Claims provisions of the Settlement Agreements.

THE LAWYERS REPRESENTING YOU

18. As a member of the Indirect Purchaser Settlement Classes, do I have a lawyer representing my interests in this Class Action and the Settlements?

Yes. The Court has appointed lawyers to represent you and other Class Members. These lawyers are called Class Counsel. You will not be charged individually for these lawyers. They will ask the Court to approve an award for expenses in connection with the Liquid Aluminum Sulfate Antitrust Litigation. The following lawyers represent the Indirect Purchaser Settlement Classes:

COUNSEL FOR THE CLASS	
Jay B. Shapiro Stearns Weaver Miller Weissler Alhadeff & Sitterson, P.A. Museum Tower 150 West Flagler Street, Suite 2200 Miami, FL 33130	Marvin A. Miller Miller Law LLC 115 S. LaSalle Street, Suite 2910 Chicago, IL 60603

19. How will the lawyers be compensated? Will the named Plaintiffs receive an incentive award?

Class Counsel intend to request that the Court award attorneys’ fees not to exceed 33 1/3% of the aggregate amounts of the Settlements, and reimbursement of expenses. Class Counsel may also ask the Court to award service awards for the Class Representative Plaintiffs of up to \$25,000 each from the aggregate Settlement Funds in recognition of their efforts to date on behalf of the Classes.

Class Counsel may seek up to one-third of the aggregate of funds achieved for the Classes, any future recovery that may occur in this Class Action against the Non-Settling Defendants. They also may ask for reasonable additional incentive awards for the named Plaintiffs from any future recovery that may occur in this Class Action against the Non-Settling Defendants.

20. Should I get my own lawyer?

You do not need to hire your own lawyer, but if you hire a lawyer to speak for you or appear in Court, your lawyer must file a Notice of Appearance. If you hire your own lawyer, you will have to pay for that lawyer on your own.

THE COURT’S FINAL APPROVAL HEARING

21. When and where will the Court determine whether to approve the Settlement?

The Final Approval Hearing will be on _____, 2019 at 10:00 a.m. eastern time before Judge Jose L. Linares, The Martin Luther King Building & U.S. Courthouse, 50 Walnut Street, Room __, Newark, NJ 07101. At this Hearing, the Court will consider whether the proposed Settlements and all of their terms are fair, reasonable, and adequate. If there are objections, the Court will consider them. The Court may listen to people who have asked for permission to speak at the Hearing (see Question __). At or after the Hearing, the Court will decide whether to finally approve the proposed Settlements. There may be appeals after that. We do not know how long these decisions will take.

The time and date of the Hearing is subject to change. Please visit www.LiquidAluminumSulfate.com for updates.

22. **Do I have to attend the Final Approval Hearing?**

No. Class Counsel will answer any questions the Court may have. But you are welcome to come at your own expense. If you send an objection, you do not have to come to Court to talk about it. So long as you file your written objection on time, the Court will consider it. You may also pay another lawyer to attend, but that is not required.

23. **May I speak at the Final Approval Hearing?**

Yes. You may ask the Court to speak at the Final Approval Hearing. To do so, you must include a Notice of Intent to Appear at the Fairness Hearing with your objection (see Question __). You must provide copies of any documents you intend to rely upon, including the names and addresses of any witnesses who will appear at the Fairness Hearing, and the name of any counsel representing you as an objector. Ultimately, the Court will decide who will be allowed to speak at the Fairness Hearing.

GETTING MORE INFORMATION

24. **Where do I get more information?**

This Notice contains a summary of relevant court papers. Complete copies of public pleadings, Court rulings, and other filings are available for review and copying at the Clerk's office. The address is: The Martin Luther King Building & U.S. Courthouse, 50 Walnut Street, Room 4015, Newark, NJ 07101. Judge Jose L. Linares for the United States District Court for the District of New Jersey is overseeing the Class Action. You can also review relevant Decisions and Orders online at www.LiquidAluminumSulfate.com.

Additional information about the Class Action and proposed Settlements is available on the case website at www.LiquidAluminumSulfate.com, or you can call the Settlement Administrator toll-free at 1-866-217-4455. *Please do not contact the Court or Judge Linares.*

For more information, call the Settlement Administrator at 1-866-217-4455, or go to www.LiquidAluminumSulfate.com.

DATED: ____ __, 2019

BY ORDER OF THE UNITED STATES DISTRICT COURT
DISTRICT OF NEW JERSEY